
*** TX REPORT ***

TRANSMISSION OK

TX/RX NO 2645
CONNECTION TEL 97032436410
SUBADDRESS
CONNECTION ID
ST. TIME 06/26 17:19
USAGE T 02'06
PGS. 5
RESULT OK



TELECOPY/FACSIMILE TRANSMISSION

DATE: June 26, 2000
PAGES, INCLUDING COVER SHEET: 5
FROM: Cybille Delacroix-Muirheid
EXAMINER, ART UNIT 1614
FAX NUMBER: (703) 308-4242
PHONE NUMBER: (703) 306-3227

TO: Mr. Brion Heaney
FIRM:
ATTORNEY'S DOCKET # OR SERIAL: 09/117,357
FAX/TELECOPIER NUMBER: 703-243-6410

COMMENTS:

Mr. Heaney,

Please find submitted herewith a copy of the Walker et al. reference as you requested. If you have any questions or comments please call me at 703-306-3227.

IF YOU HAVE NOT RECEIVED ALL THE PAGES OF THIS TRANSMISSION, PLEASE CONTACT THE EXAMINER AT THE TELEPHONE NUMBER LISTED ABOVE.

ALL FAX MACHINES RECEIVE TRANSMISSIONS 24 HOURS PER DAY, SEVEN DAYS PER WEEK.

IN COMPLIANCE WITH 1096 OG 30, THE FILING DATE ACCORDED EACH OFFICIAL FAX TRANSMISSION WILL BE DETERMINED BY THE FAX MACHINE DATE STAMP FOUND ON THE LAST PAGE OF THE TRANSMISSION, UNLESS THAT DATE IS A SATURDAY, SUNDAY, OR FEDERAL HOLIDAY WITHIN THE DISTRICT OF COLUMBIA. IN WHICH CASE THE OFFICIAL DATE OF RECEIPT WILL BE THE



TELECOPY/FACSIMILE TRANSMISSION

DATE: June 26, 2000
PAGES, INCLUDING COVER SHEET: 5
FROM: Cybille Delacroix-Muirheid
EXAMINER, ART UNIT 1614
FAX NUMBER: (703) 308-4242
PHONE NUMBER: (703) 306-3227

TO: Mr. Brion Heaney

FIRM:

ATTORNEY'S DOCKET # OR SERIAL: 09/117,357

FAX/TELECOPIER NUMBER: 703-243-6410

COMMENTS:

Mr. Heaney,

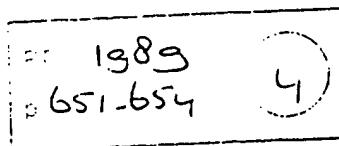
Please find submitted herewith a copy of the Walker et al. reference as you requested. If you have any questions or comments please call me at 703-306-3227.

IF YOU HAVE NOT RECEIVED ALL THE PAGES OF THIS TRANSMISSION, PLEASE CONTACT THE EXAMINER AT THE TELEPHONE NUMBER LISTED ABOVE.

ALL FAX MACHINES RECEIVE TRANSMISSIONS 24 HOURS PER DAY, SEVEN DAYS PER WEEK.

IN COMPLIANCE WITH 1096 OG 30, THE FILING DATE ACCORDED EACH OFFICIAL FAX TRANSMISSION WILL BE DETERMINED BY THE FAX MACHINE DATE STAMP FOUND ON THE LAST PAGE OF THE TRANSMISSION, UNLESS THAT DATE IS A SATURDAY, SUNDAY, OR FEDERAL HOLIDAY WITHIN THE DISTRICT OF COLUMBIA, IN WHICH CASE THE OFFICIAL DATE OF RECEIPT WILL BE THE NEXT BUSINESS DAY.

THE DOCUMENT(S) ACCOMPANYING THIS FACSIMILE TRANSMISSION CONTAIN(S) INFORMATION FROM THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH IS CONFIDENTIAL AND/OR LEGALLY PRIVILEGED. THIS INFORMATION IS FOR THE USE OF THE INDIVIDUAL OR FIRM NAMED ON THIS SHEET. IF YOU ARE NOT THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION, OR THE TAKING OF ANY ACTION IN RELIANCE ON THE CONTENTS OF THIS INFORMATION IS STRICTLY PROHIBITED. THE DOCUMENTS SHOULD BE RETURNED TO THE PATENT AND TRADEMARK OFFICE IMMEDIATELY. IF THIS FACSIMILE IS RECEIVED IN ERROR, PLEASE NOTIFY THE EXAMINER LISTED HEREON IMMEDIATELY.



Endocrine Effects of Combination Antioestrogen and LH-RH Agonist Therapy in Premenopausal Patients with Advanced Breast Cancer

KERRY J. WALKER,* RICHARD F. WALKER,* ATILLA TURKES,* JOHN F.R. ROBERTSON,† ROGER W. BLAMEY,† KEITH GRIFFITHS* and ROBERT I. NICHOLSON*

**Tenovus Institute for Cancer Research, University of Wales College of Medicine, Heath Park, Cardiff CF4 4XX, U.K. and*
†*Department of Surgery, City Hospital, Nottingham NG5 1PB, U.K.*

Abstract—Thirty-eight premenopausal breast cancer patients were treated for periods up to 12 months with a sustained-release formulation of the luteinizing hormone-releasing hormone agonist goserelin [Zoladex, (D-Ser(Bu)⁶Argly¹⁰-LH-RH); 3.6 mg depot every 4 weeks] either alone or in combination with the antioestrogen tamoxifen citrate (Nolvadex 40 mg/day). In both treatment groups serum gonadotrophin concentrations fell during the first month of therapy and were suppressed on continued treatment. In patients treated with the combination therapy FSH concentrations were significantly reduced in comparison with goserelin alone. Relatively normal ovarian activity was observed during the first few weeks of therapy. Thereafter, oestradiol and progesterone concentrations rapidly declined in both treatment groups. Slightly lower serum oestradiol concentrations were recorded in patients receiving combination therapy. No significant adverse side-effects were recorded in either group of patients.

INTRODUCTION

THE non-steroidal antioestrogen tamoxifen citrate (Nolvadex) is well established as a first line therapy for the treatment of postmenopausal patients with advanced breast cancer. Response rates of approx. 30% in unselected patients rise to 50% in patients whose tumours are oestrogen receptor positive [1]. In randomized clinical trials in postmenopausal women, tamoxifen citrate was found to be as effective as high dose oestrogens [2-4], progestins [5-7], androgens [8, 9] and the aromatase inhibitor aminoglutethimide [10, 11] but to be without significant side-effects. Antioestrogens are also clinically effective in premenopausal women and bring about a similar objective remission rate to oophorectomy [12-15]. Tamoxifen citrate therapy, however, fails to suppress menstruation in the majority of patients [16] and has been reported to elevate circulating concentrations of oestradiol [13, 17, 18], which may contribute to disease relapse during antioestrogen therapy [18].

Recently, our group [19-25] and other laboratories [26-28] have reported on the clinical efficacy of another type of antihormonal agent in premeno-

pausal breast cancer patients, agonist analogues of luteinizing hormone-releasing hormone (LH-RH). In contrast to the direct tissue actions of the antioestrogens [29, 30], LH-RH agonists are thought to act indirectly by suppressing gonadotrophin release from the pituitary gland and thus reducing the amount of oestrogen produced by the ovaries and ultimately available to the tumour [19-28]. The current paper examines the endocrinological effects of combining tamoxifen citrate and a slow-release formulation of goserelin in an attempt to reduce ovarian activity with the LH-RH agonist and counteract the residual actions of oestrogens with the antioestrogen. A preliminary study designed to investigate such combination therapy using the LH-RH agonist buserelin administered as a nasal spray failed to demonstrate continuous suppression of oestradiol and progesterone concentrations in premenopausal breast cancer patients. These observations, however, may be explained by an inability of the LH-RH agonist, when administered intranasally, to effect a successful medical castration [26].

PATIENTS AND METHODS

Patients selected for the study were premenopausal with histologically proven carcinoma of the breast who had either recurrent or locally advanced disease. No patient had received previous endocrine

Accepted 14 November 1988.

Correspondence and reprint requests to Dr. K.J. Walker, Tenovus Institute for Cancer Research, University of Wales College of Medicine, Heath Park, Cardiff CF4 4XX, U.K.

or cytotoxic therapy. Treatment was initiated at the breast clinic of R.W.B. Written and informed consent was obtained from all patients after full explanation of the protocol which had been approved by the appropriate hospital ethical committee. Tamoxifen citrate and goserelin were supplied by ICI Pharmaceuticals Division, Macclesfield, U.K. The sustained-release formulation of goserelin was a lactide-glycolide copolymer containing 3.6 mg of the LH-RH agonist in the form of a cylindrical rod 1.2 mm in diameter [31]. Two groups of patients were studied: Group 1 received goserelin alone ($n = 24$), while Group 2 received a combination of goserelin and tamoxifen citrate at a dose of 20 mg b.d. ($n = 14$). The studies ran sequentially with the same inclusion criteria applying to each group of patients.

Blood samples were withdrawn at regular intervals throughout therapy and assayed for luteinizing hormone, follicle stimulating hormone, oestradiol and progesterone using assay procedures previously described [23].

Statistical analysis

The data were analysed using the Mann-Whitney U test.

RESULTS

The data presented in Fig. 1 show that the continued exposure of premenopausal patients with breast cancer to the LH-RH agonist goserelin results in a decline in circulating concentrations of LH and FSH and a suppression of ovarian steroid hormone production. Thus, within 7–14 days of a subcutaneous injection of the sustained-release formulation of this drug a significant fall in the basal gonadotrophin values was recorded (Fig. 1a, b). Thereafter, the serum concentrations of LH and FSH remained low. On long-term therapy, serum FSH values showed a tendency to increase with time. Although serum concentrations of oestradiol and progesterone remained relatively normal throughout the first few days of therapy, they rapidly declined thereafter. In both treatment groups serum progesterone concentrations fell below the detection limit of the assay after 3–4 weeks of therapy (subsequent assay points not illustrated in Fig. 1c).

The combination of tamoxifen citrate with goserelin produced alterations in the circulating concentrations of the above hormones which were qualitatively similar to those observed with the LH-RH agonist alone. Serum concentrations of FSH, however, were significantly ($P \leq 0.05$) lower at all time points after 1 month in the combination group. Although this did not markedly influence ovarian function (Fig. 1c, d), pooling of the oestradiol data gathered between 1 and 12 months, showed signifi-

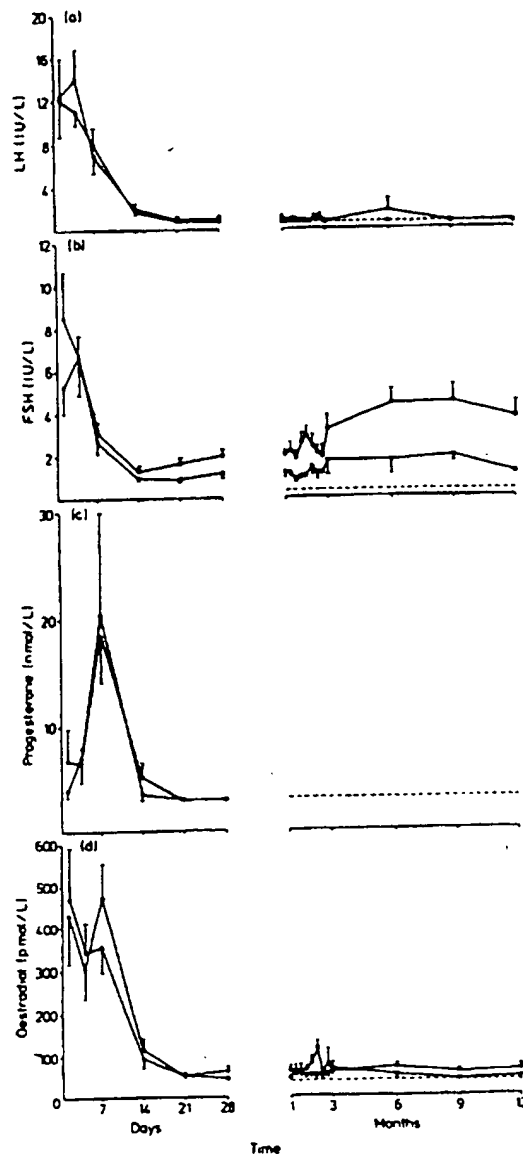


Fig. 1. Serum (a) LH (b) FSH (c) progesterone (d) oestradiol concentrations in premenopausal women with advanced breast cancer treated with a sustained-release formulation of goserelin (3.6 mg administered at 4 week intervals) either alone (\circ , $n = 24$) or in combination with 40 mg tamoxifen citrate/day (\bullet , $n = 14$). The results are presented as the mean values \pm S.D. Detection limit of the assay (---).

cantly ($P \leq 0.05$) lower serum oestradiol concentrations in the combination group. This effect was not observed following analysis of the oestradiol data during the first 28 days of therapy, nor was it observed for LH or progesterone. The side-effects of goserelin therapy alone included cessation of menstruation, hot flushes, vaginal dryness and occasional nausea. In patients treated with both goserelin and tamoxifen citrate similar side-effects were recorded.

DISCUSSION

Previous reports from our laboratory have demonstrated that when the LH-RH agonist goserelin is administered to premenopausal patients with advanced breast cancer it rapidly results in pituitary gland desensitization to endogenous luteinizing hormone-releasing hormone, a fall in circulating concentrations of LH and FSH and a withdrawal of their support for ovarian steroidogenic processes [19-25]. This results in a rapid decline in the circulating concentrations of oestradiol and progesterone producing tumour remissions in approximately one third of unselected patients [21, 25]. The present study extends these observations to the combined effects of goserelin plus tamoxifen citrate and demonstrates that the antioestrogen has no adverse effects on the above events. Indeed, the combination therapy results in a more effective suppression of circulating concentrations of FSH and a further small, but significant, decline in serum oestradiol concentrations. These data, therefore, do not provide any evidence for a contrary interaction between LH-RH agonists and antioestrogens as had previously been reported by Klijn and De Jong [26] who failed to demonstrate continuous suppression of oestradiol and progesterone in patients treated

with tamoxifen citrate and an intranasal formulation of buserelin. The failure of the above group to demonstrate a successful medical castration with buserelin, has recently been overcome by the use of twice-daily subcutaneous injections of the drug [32].

It is likely that the efficient suppressive action of the combination of goserelin and tamoxifen citrate on serum concentrations of FSH result, in part, from the partial oestrogen agonist properties of tamoxifen citrate [33] which has been shown to partially reduce gonadotrophin levels in postmenopausal women [17, 34, 35]. The greater reduction in oestradiol concentrations resulting from the combination therapy further supports the rationale of the study. Randomized clinical trials are currently in progress to assess the therapeutic efficacy of tamoxifen citrate and goserelin and goserelin alone in pre- and perimenopausal patients with advanced breast cancer. Although to date objective remissions have been recorded in both groups of patients it is too early to make rational comment on the relative clinical merits of these treatment modalities.

Acknowledgements—The authors are grateful to the Tenovus Organization for generous financial support. The study was supported by ICI Pharmaceuticals plc who also supplied goserelin and tamoxifen citrate.

REFERENCES

1. Patterson JS, Edwards DG, Battersby LA. A review of the international clinical experience with tamoxifen. *Jap J Cancer Clin Suppl* 1981, 157-183.
2. Stewart HJ, Forrest APM, Gunn JM *et al.* The tamoxifen trial—a double-blind comparison with stilboestrol in postmenopausal women with advanced breast cancer. In: Mouridsen HT, Palshof T, eds. *Breast Cancer—Experimental and Clinical Aspects*. Oxford, Pergamon Press, 1980, 83-88.
3. Ingle JN, Ahmann DL, Green SJ *et al.* Randomised clinical trial of diethylstilboestrol versus tamoxifen in postmenopausal women with advanced breast cancer. *N Engl J Med* 1981, 304, 16-21.
4. Beex L, Pieters G, Smals A, Koenders A, Benraad T, Kloppenborg P. Tamoxifen versus ethinyl estradiol in the treatment of postmenopausal women with advanced breast cancer. *Cancer Treat Rep* 1981, 65, 179-185.
5. Mattson WA. A phase III trial of treatment with tamoxifen versus treatment with high dose medroxyprogesterone acetate in advanced postmenopausal breast cancer. In: Jacobelli S, Di Marco A, eds. *Role of Medroxyprogesterone in Endocrine Related Tumors. Progress in Cancer Research and Treatment*. New York, Raven Press, 1980, Vol. 15, 65-71.
6. Pannuti F, Martoni A, Fruet F *et al.* Oral high-dose medroxyprogesterone acetate versus tamoxifen in postmenopausal patients with advanced breast cancer. In: Jacobelli S, Lippman ME, Robustelli Della Cuna G, eds. *The Role of Tamoxifen in Breast Cancer*. New York, Raven Press, 1982, 85-92.
7. Berreta G, Tabiaddon D, Tedeschi L *et al.* Hormonotherapy of advanced breast cancer: comparative evaluation of tamoxifen citrate versus medroxyprogesterone acetate. In: Jacobelli S, Lippman ME, Robustelli Della Cuna G, eds. *The Role of Tamoxifen in Breast Cancer*. New York, Raven Press, 1982, 113-120.
8. Nagai R, Kumaoka S. Clinical evaluation of tamoxifen in advanced breast cancer (primary and recurrent). Double blind study. *Clin Eval* 1980, 8, 321-352.
9. Wada T, Yashida M, Senoo T. Clinical studies of tamoxifen in advanced breast cancer: multicentre open trial and double blind trial. *Rev Endocr Rel Cancer* 1981, Suppl 9, 293-300.
10. Smith IE, Harris AL, Morgan M *et al.* Tamoxifen versus aminoglutethimide in advanced breast carcinoma: a randomised cross over trial. *Br Med J* 1981, 283, 1432-1434.
11. Lipton A, Harvey HA, Santen RJ *et al.* Randomised trial of aminoglutethimide versus tamoxifen in metastatic breast cancer. *Cancer Res* 1982, 42 (Suppl) 3434-3436.
12. Tagnon HJ. Antioestrogens in treatment of breast cancer. *Cancer* 1977, 39, 2959-2964.

13. Manni A, Pearson OH. Antioestrogen-induced remissions in premenopausal women with stage IV breast cancer: effects on ovarian function. *Cancer Treat Rep* 1980, 64, 779-785.
14. Pritchard KI, Thomson DB, Myers RE *et al*. Tamoxifen therapy in premenopausal patients with metastatic breast cancer. *Cancer Treat Rep* 1980 64, 787-796.
15. Hoogstraten B, Fletcher WS, Gad-el-Mawla N *et al*. Tamoxifen and oophorectomy in the treatment of recurrent breast cancer. *Cancer Res* 1982, 42, 4788-4791.
16. Manni A. Tamoxifen therapy of metastatic breast cancer. *J Lab Clin Med* 1987, 109, 290-299.
17. Manni A, Trujillo J, Marshall JS, Brodkey J, Pearson OH. Antihormone treatment of stage IV breast cancer. *Cancer* 1979, 43, 444-450.
18. Willis KJ, London DR, Ward HWC, Butt WR, Lynch SS, Rudd BT. Recurrent breast cancer treated with the antioestrogen tamoxifen; correlation between hormonal changes and clinical course. *Br Med J* 1977, 1, 425-428.
19. Nicholson RI, Walker KJ, Turkes A *et al*. Therapeutic significance and the mechanism of action of the LH-RH agonist ICI 118630 in breast and prostate cancer. *J Steroid Biochem* 1984, 20, 129-135.
20. Nicholson RI, Walker KJ, Turkes A *et al*. Endocrinological and clinical aspects of LH-RH action (ICI 118630) in hormone dependent breast cancer. *J Steroid Biochem* 1985, 23, 843-847.
21. Nicholson RI, Walker KJ, Turkes A *et al*. The British experience with the LH-RH agonist Zoladex (ICI 118630) in the treatment of breast cancer. In: Klijn JGM, Paridaens R, Foekens JA, eds. *Hormonal Manipulation of Cancer: Peptides, Growth Factors and New (Anti) Steroidal Agents*. New York, Raven Press, 1987, 331-341.
22. Nicholson RI, Walker KJ, Walker RF *et al*. Oestrogen deprivation in breast cancer using LH-RH agonists and antioestrogens. In: Bresciani F, King RJB, Lippman ME, Raynaud J-P, eds. *Progress in Cancer Research and Therapy*, Vol. 35: *Hormones and Cancer 3*. New York, Raven Press, 1988, 359-364.
23. Walker KJ, Turkes A, Williams MR, Blamey RW, Nicholson RI. Preliminary endocrinological evaluation of a sustained release formulation of the LH-releasing hormone agonist D-Ser(Bu)¹-Azgly¹⁰-LH-RH in premenopausal women with advanced breast cancer. *J Endocrinol* 1986, 111, 349-353.
24. Walker KJ, Nicholson RI, Turkes A, Plowman PN, Williams M, Blamey RW. Clinical application of LH-RH agonists in hormone-dependent breast cancer. In: Timothy A, ed. *The Place of Endocrine Therapy in Breast Disease*. London, Royal Society of Medicine Services, 1987, 45-50.
25. Williams MR, Walker KJ, Turkes A, Blamey RW, Nicholson RI. The use of an LH-RH agonist (ICI 118630, Zoladex) in advanced premenopausal breast cancer. *Br J Cancer* 1986, 53, 629-636.
26. Klijn JGM, De Jong FH. Long term treatment with the LH-RH agonist Buserelin (Hoe 66) for metastatic breast cancer in single and combined drug regimens. In: Labrie F, Belanger A, Dupont A, eds. *LH-RH and its Analogues*. Dordrecht, Elsevier, 1984, 425-437.
27. Harvey HA, Lipton A, Max DT. LH-RH agonist treatment of breast cancer: a phase II study in the USA. In: Klijn JGM, Paridaens R, Foekens JA, eds. *Hormonal Manipulation of Cancer: Peptides, Growth Factors and New (Anti) Steroidal Agents*. New York, Raven Press, 1987, 321-329.
28. Mathé G, Keiling R, Prevot G *et al*. LH-RH agonist: breast and prostate cancer. In: Klijn JGM, Paridaens R, Foekens JA, eds. *Hormonal Manipulation of Cancer: Peptides, Growth Factors and New (Anti) Steroidal Agents*. New York, Raven Press, 1987, 315-319.
29. Nicholson RI, Davies P. Potential antioestrogenic mechanisms in breast cancer therapy. In: BA Stoll, ed. *Endocrine Relationships in Breast Cancer*. London, William Heinemann, 1982, 215-238.
30. Nicholson RI, Daniel P, Syne JS, Davies P. Potential antioestrogenic and antitumour mechanisms of tamoxifen action in breast cancer. In: Agarwal MK, ed. *Hormone Antagonists*. Berlin, Walter de Gruyter, 1982, 179-201.
31. Hutchinson FG, Furr BJA. Biodegradable polymers for the sustained release of peptides. *Biochem Soc Trans* 1985, 13, 520-523.
32. Klijn JGM, Van Geel AN, Sandow J, de Jong FH. Treatment with high dose LHRH agonist (Buserelin) plus tamoxifen and with buserelin implants in premenopausal patients: an endocrine and pharmacokinetic study. In: Bresciani F, King RJB, Lippman ME, Raynaud J-P, eds. *Progress in Cancer Research and Therapy*, Vol. 35: *Hormones and Cancer 3*. New York, Raven Press, 1988, 365-368.
33. Nicholson RI. Antioestrogens and breast cancer therapy. In: Furr BJA, Wakeling AE, eds. *Pharmacology and Clinical Uses of Inhibitors of Hormone Secretion and Action*. London, Bailliere Tindall, 1987, 60-86.
34. Golder MP, Phillips ME, Fahmy DR *et al*. Plasma hormones in patients with advanced breast cancer treated with tamoxifen. *Eur J Cancer* 1976, 12, 719-723.
35. Furr BJA, Jordan VC. The pharmacology and clinical uses of tamoxifen. *Pharmacol Ther* 1984, 25, 127-205.